

# Unit 1: How to prove properties of programs?

Statements about programs  
(assertions, termination),  
etc.

various techniques  $\Downarrow$   
Statements about mathematics

```
int x = 0; int lim = input();  
while (x < lim) {  
    x := x + 2  
}  
assert (x % 2 == 0)
```

Program about which we want to prove something

$\Downarrow$  Technique: come up with invariant

"Always  $x \% 2 == 0$ ".

$\Downarrow$  Proof obligation

Show that this invariant is inductive.

Show that $\forall x$ , if $x \% 2 == 0$ invariant holds now then $(x + 2) \% 2 == 0$ invariant will hold in the next step.
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Statement in logic/math.  
If this stmt is true, then orig. prog has the desired property.

## Unsaid belief so far

I trust in your ability to prove mathematical statements.

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But: no programmer is willing to prove things by hand.

Q: How do we automate the discharging of proof obligations?





u "..."  
discharging of proof obligations?

SAT solvers: propositional logic

SMT solvers: programs are not  
just about logic.

Also handle data.

Numbers, strings,  
uninterpreted functions  
black boxes.

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## Propositional Logic

Proposition: A statement about the  
world.

It rained yesterday.

There was a taxi at the  
station.

- Michael Phelps is the best  
swimmer in the world.

- Michael Phelps was faster in the  
2012 100m Olympic swimming  
event than all competitors.

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① If the train arrives late  $P$   
and there are no taxis at the station  $\neg q$   
then John is late for the meeting.  $r$

$$P \wedge \neg q \Rightarrow r$$

② John is not late for the meeting

③ But the train also arrived late.

$\neg r$

$P$

$\downarrow$   
 $q$

Conclusion: There must have been  
taxis at the station.





① If it is raining  $P$   
 & Jane does not have an umbrella,  $\neg q$   
 then she will get wet.  $r$

$$P \wedge \neg q \Rightarrow r$$

② Jane is not wet  $\neg r$

③ And it was raining  $P$

$$\frac{P}{\quad}$$

$q$

Conclusion: she must have had  
 an umbrella with her.

Claim: For all propositions  $P, q, r$ ,

$$\text{if } (P \wedge \neg q \Rightarrow r) \quad r \vee \neg(P \wedge \neg q)$$

$$\neg r \quad r \vee (\neg P \vee q)$$

$$P$$

then it must be the case that  $q$ .

Proof:  $P \wedge \neg q \Rightarrow r$  is the same  
 as  $\neg P \vee q \vee r$ .







We know  $p$ . We know  $\neg r$ .

Therefore  $q$ .

"Sequent calculus"      "Natural deduction"  
systems

$$\frac{p \Rightarrow q \quad p}{q} \text{ Modus ponens}$$

$$\frac{p \Rightarrow q \quad \neg q}{\neg p} \text{ Modus tollens}$$

Can a system prove everything which is true? Completeness

Can a system only prove things which are true? Consistency / ~~soundness~~

Proof vs. Truth vs. Expressible  
↓  
Truth tables.





$2^n$  rows  
for a formula  
with  $n$   
variables

a	b	$a \wedge b$ "a and b"
T	T	T
T	F	F
F	T	F
F	F	F

$\wedge$	$\vee$	$\neg$
and	or	not
.	+	$\overline{a}$

a	b	$a \vee b$
T	T	T
T	F	T
F	T	T
F	F	F

a	$\overline{a}$
T	F
F	T

a	b	$a \Rightarrow b$
T	T	T
T	F	F
F	T	T
F	F	T

T
F
F
F

$a \wedge b$

A | 1 | B | 3

Claim: If number on  
one side, then letter on the  
other.





other.

Question: which cards to turn over to verify claim?

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Given a propositional formula  $\phi$ ,

is it satisfiable?

Validity?

How many models does it have?

a	b	...	$\phi$
T	T	F	T
T	F	F	F
		⋮	⋮

Satisfiability: Is there a row where

NP-complete the output is true?

Validity: Is the output always true?

(co-NP complete)

Model counting: How many rows evaluate



-

1  
2



Model Counting: How many rows evaluate  
(#P-complete) to true.

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## Decision problems

Given  $\varphi$ , is it satisfiable? Y/N.

— Witness to Y: simply give the row.  
which satisfies

If  $\varphi$  has  $n$  variables, then  
witness has  $n$  bits.

Witness can be checked in  
poly time.

— Witness to N: nobody knows  
how to do this efficiently.

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Given  $\varphi$ , is it valid? Y/N.

2



- Witness to  $\gamma$ : Nobody knows how to do this efficiently
- Witness to  $w$ : Row which evaluates to false.

Connection b/w satisfiability & validity.

Theorem:  $\phi$  is valid iff  $\neg\phi$  is unsat.

Given a formula  $\phi$ , how many models does it have?

Valiant 1979: Complexity  
 $\#P$ -complete the per

$$\det \begin{pmatrix} a & b \\ c & d \end{pmatrix} = ad - bc$$

$$\det \begin{pmatrix} a & b \\ a & b \end{pmatrix} = 0$$

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of computing  
manent.

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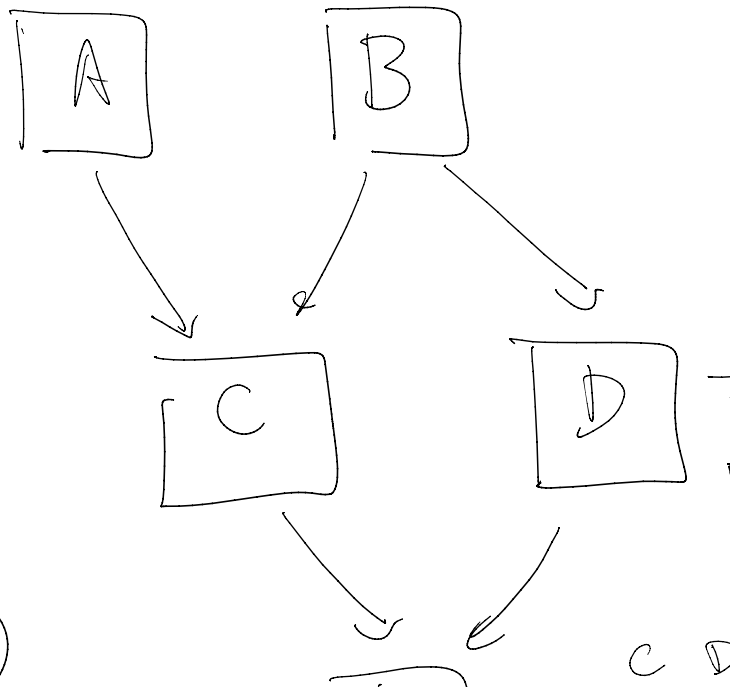
$$\text{perm} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = ad + bc$$

Counting SAT

Horn SAT / 2-SAT :  
linear

Inference in Bayesian networks  
#P-complete.

$$\begin{aligned} & P_r(A \wedge E | \bar{D}) \\ &= P_r(A \wedge E \wedge C | \bar{D}) \\ &\rightarrow P_r(A \wedge E \wedge \bar{C} | \bar{D}) \end{aligned}$$



C

solvable in  
time.

works is

B	D
T	0.8
F	0.4

IE



$$+ \Pr(A \cap E \cap \bar{C} | D)$$



C D  
T T  
T E  
F F  
F

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$$|A \cup B| = |A| + |B| - |A \cap B|$$

Preve  
C

Connections between  
model counting & r

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How is  $\varphi$  represented

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$D$	$E$
$F$	0.8
$E$	0.2
$F$	0.1
$F$	0.5

---

$AB$

ent double  
counting.

andom sampling

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?

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	Circuits	Formulas
sat	NP-C	NP-C
validity	co-NP-C	co-NP
Model counting	#P-comp	#P

$$(a \wedge b) \vee (\bar{c} \wedge d)$$

Circuits

Class	CNF	DNF	ROBDD
	<u>NP-C.</u>	trivial	triv
PC	trivial	co-NPC	triv
comp.	#P-comp	#P-comp	triv

$\Delta_1^1(\text{erf})$

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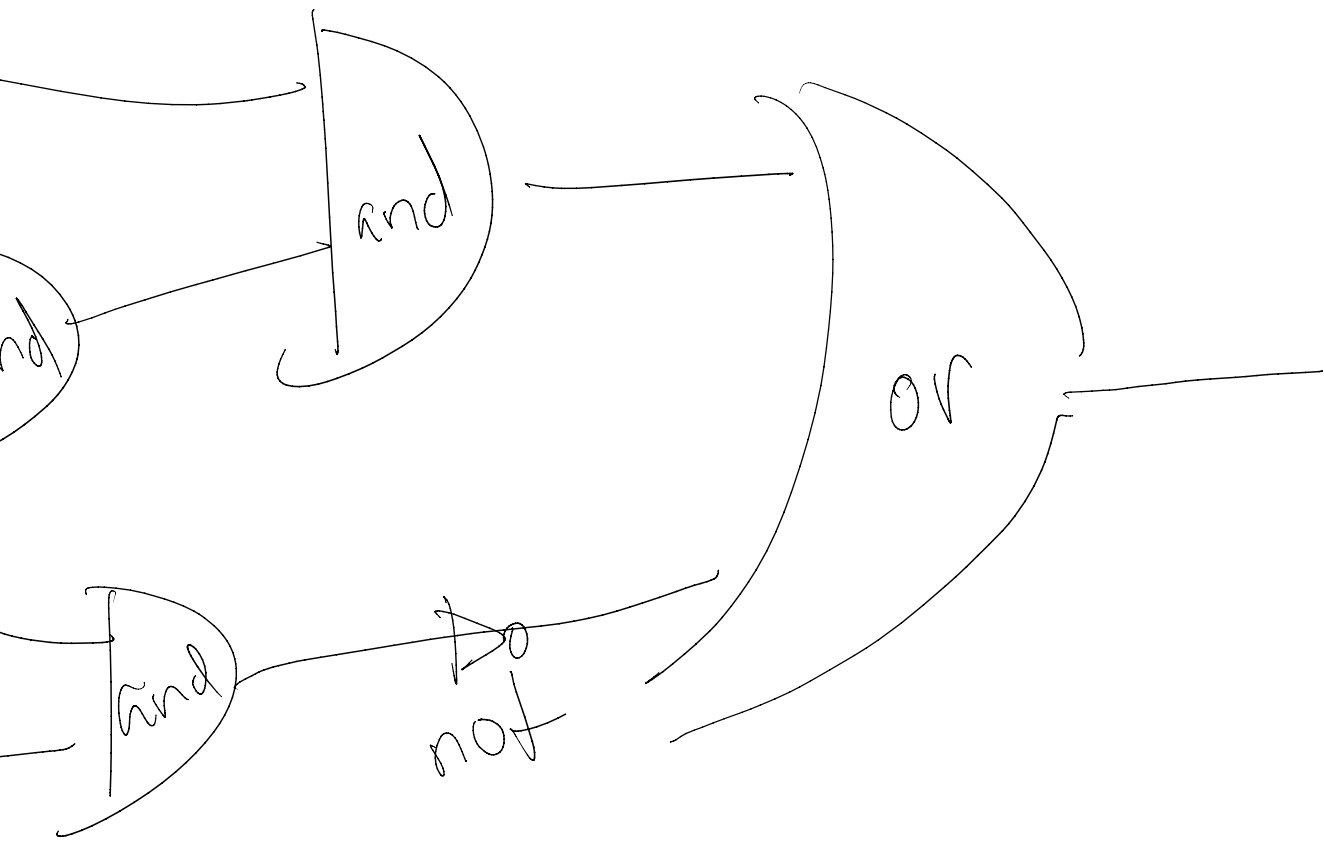
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variable

erling is

super-hard!

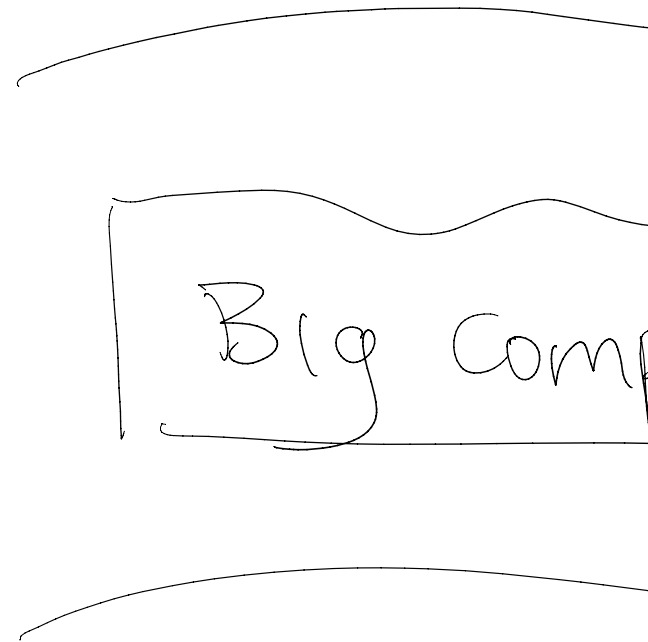




exponentially more  
than formulas.



Fanouts end



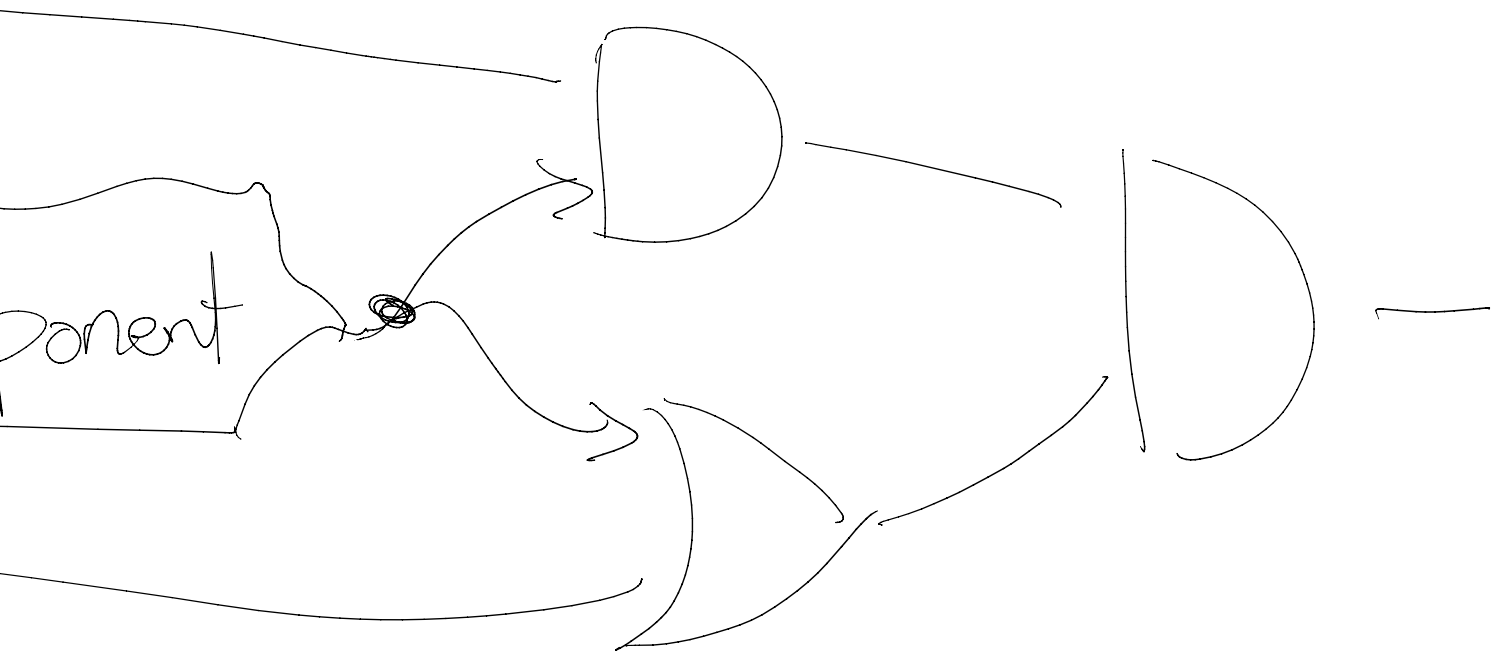
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CNF : conjunctive

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( a or b or c  
 , , /

# variable sharing



normal form.

$(\lambda x. (\lambda y. (\lambda z. z)))$  and  $(\lambda x. (\lambda y. (\lambda z. z)))$



and (a x  
C

3-CNF : at m  
clause

Cook's o



(or  $d$  or  $\bar{e}$ ) and ...



most 3 literals per

original NP-completeness  
result. (3-SAT)



2-SAT : Is

Can be

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DNF : Disjunctive

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( — and — and — ) or (

var or

var

a formula in 2-CNF

satisfiable?

done in linear time

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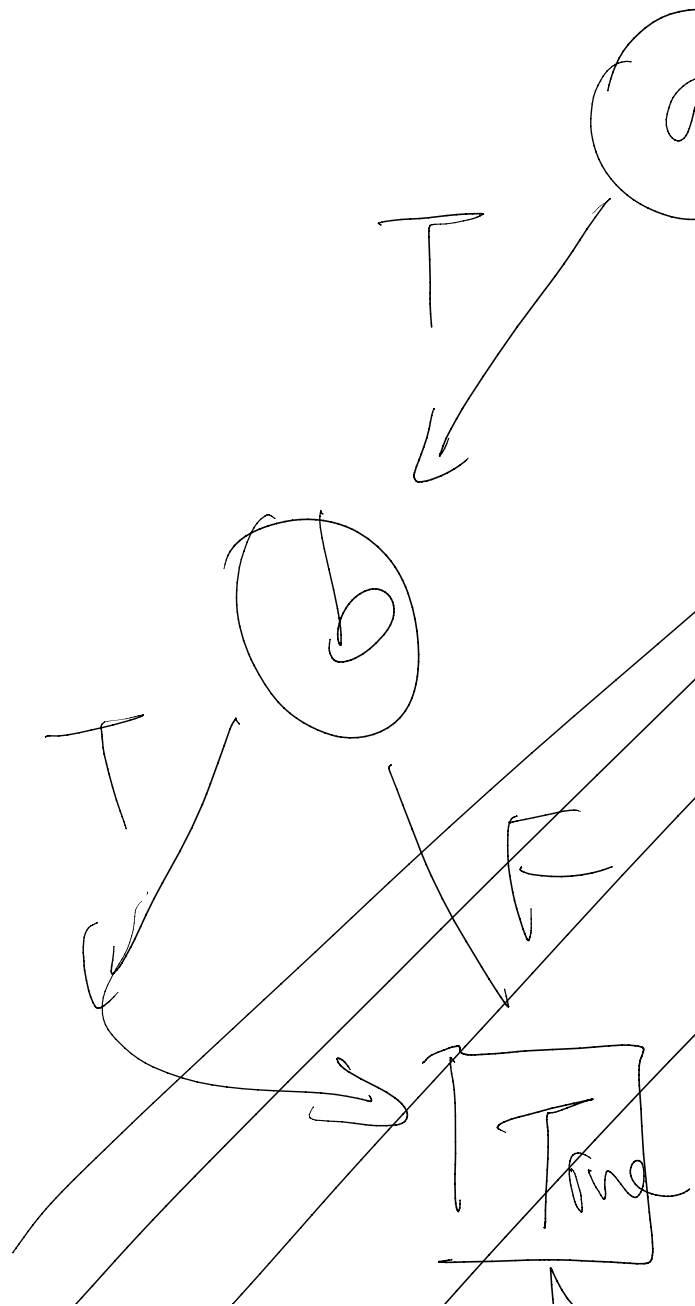
normal form

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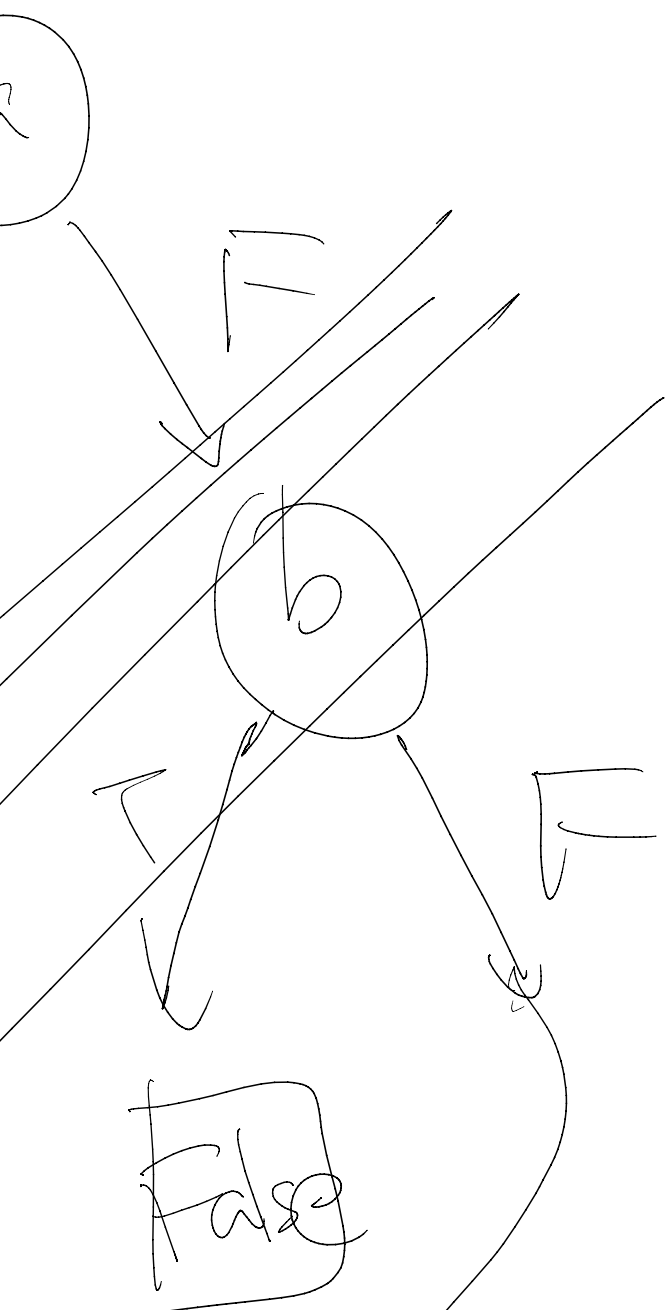
$(- \text{ and } -)$  or  $(- \text{ and } \rightarrow)$  or ...



# Binary dec



# Decision diagrams (R)



Reduction

① Maxim

② No vr

Order

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nal sharing

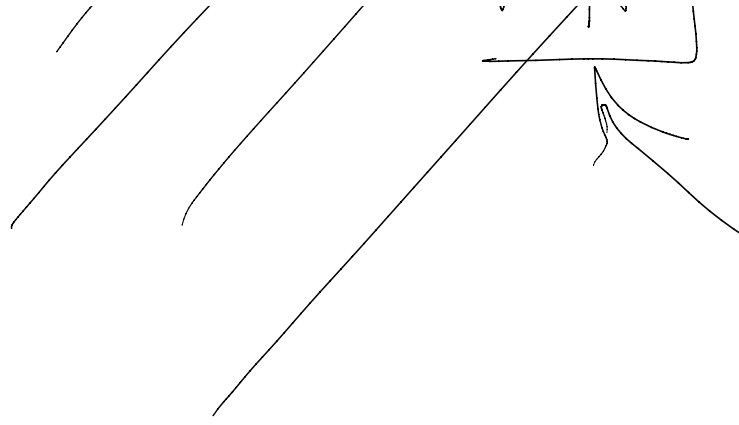
unnecessary nodes

ed

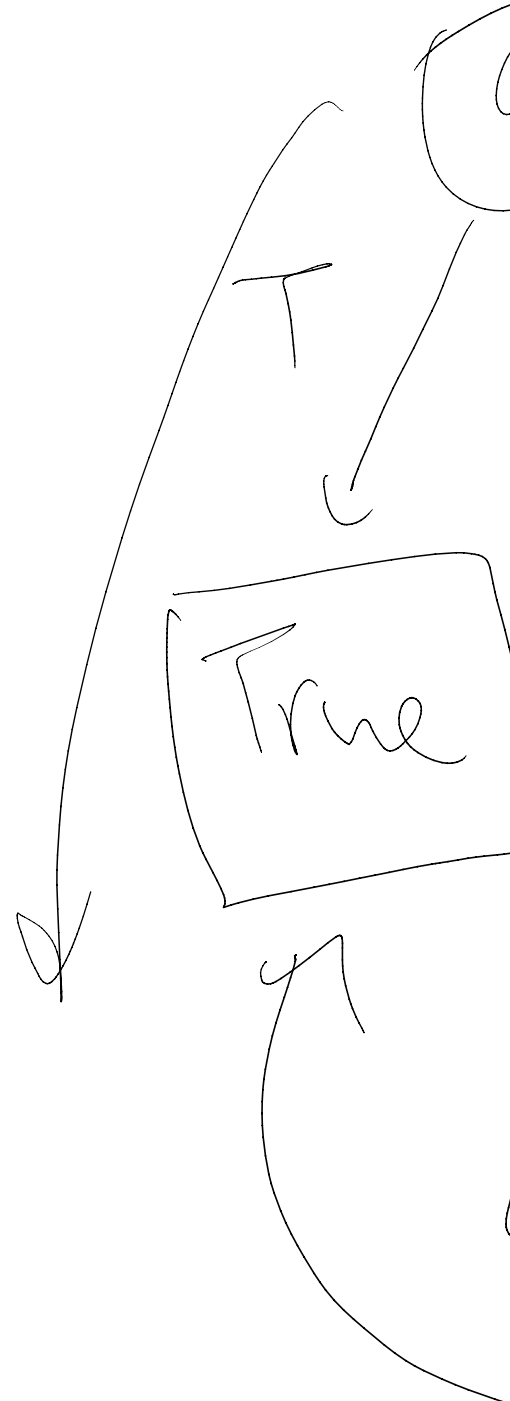
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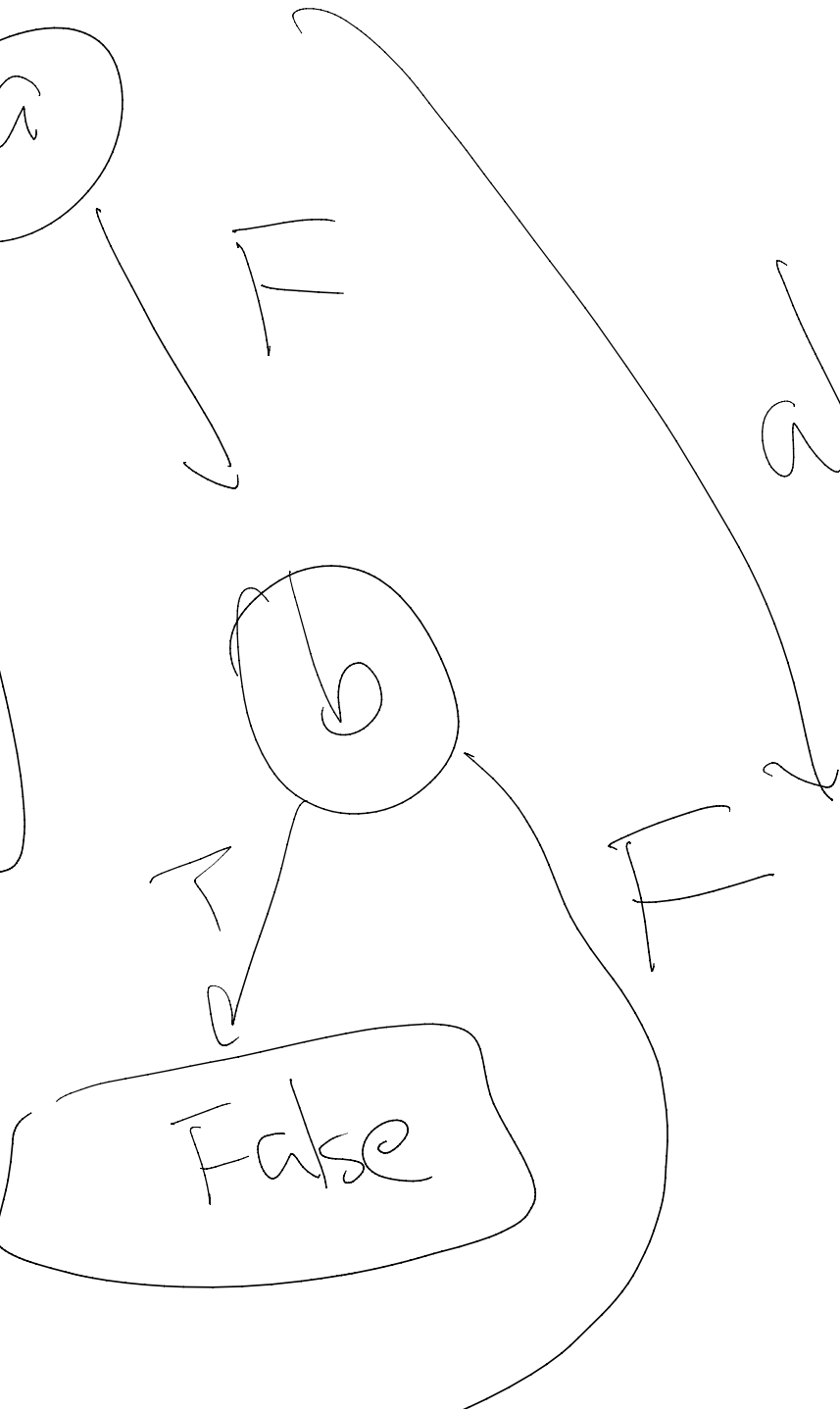
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ROBDDs



branches share  
the variable  
or

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Bryant  
or

are canonical.

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Claim : Every

equivalent

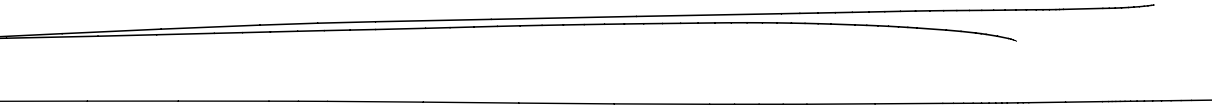
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(A · B) +

formula  $\varphi$  has an  
CNF representation

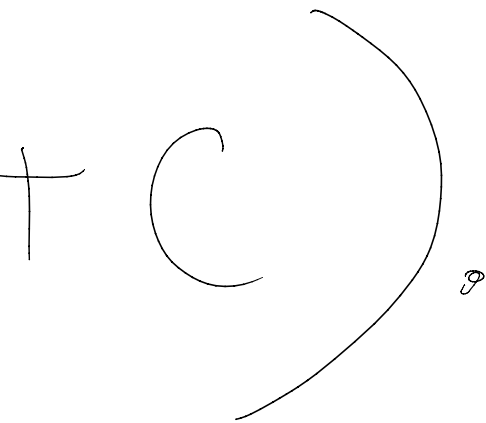
$$\neg(A \vee C) \wedge (B \vee D)$$

U ~ u 1 ~ u 2 ~ u 3 |.



n

on.



U ~ u 1 ~ u 2 ~ u 3



$$(A + B) \cdot C$$

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DeMorgan's

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$$= A \cdot B + AC$$

$$= A \cdot B + C$$

$$= A \cdot C + B \cdot C$$

Laws



$$\underbrace{+ BC} + \underline{C}$$

$$\begin{aligned} & \cancel{A + B + C} \\ & = A \cdot B + C \end{aligned}$$

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$$A \cdot B$$

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$$A + B$$

$$= \overline{A} + \overline{B}$$

$$= \overline{A \cdot B}$$

