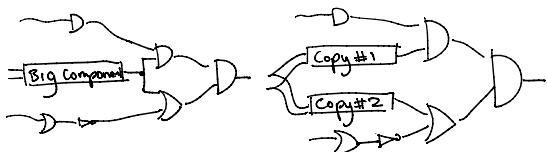


## Announcements:

- ① No class on Monday.
- ② Project proposals due on Feb 19
- ③ Typo in hw1 has been fixed.

	Circuits	Formulas	CNF	DNF	RDD
sat	NPC	NPC	NPC	trivial	trivial
validity	CONPC	CONPC	trivial	CONPC	trivial
model	#PC	#PC	#PC	#PC	trivial
counting					

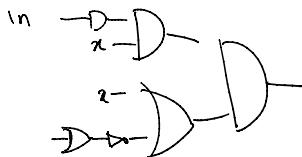
Circuits  $\xrightarrow{\hspace{2cm}}$  Formulas



Possibly exponential blowup.

Challenge: getting rid of fanout  
aka. sharing  
aka. let bindings

let  $x = \boxed{\text{Big Component}}$



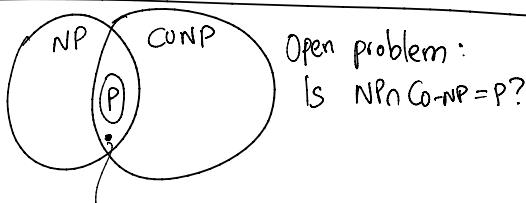
Formulas  $\xrightarrow{\hspace{2cm}}$  CNF, DNF

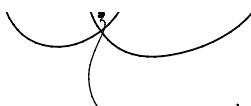
$$\begin{aligned} \text{Distributivity: } A \cdot B + C &= (A+C) \cdot (B+C) \\ (A+B) \cdot C &= A \cdot C + B \cdot C \end{aligned}$$

Double negations:  $\overline{\overline{A}} = A$

$$\begin{aligned} \text{De Morgan: } \overline{A \cdot B} &= \overline{A} + \overline{B} \\ \overline{A + B} &= \overline{A} \cdot \overline{B} \end{aligned}$$

Possibly exponential blowup.





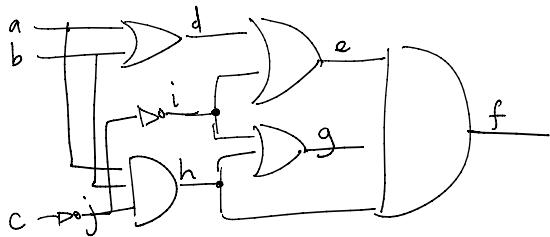
Can parity games be solved  
in poly time?

Circuit  $\implies$  Equivalent formula

Formula  $\implies$  Equivalent CNF

Circuit  $\implies$  Equisatisfiable CNF

Tseitin's transform



$$\varphi(a, b, c) \xrightarrow{\text{equisatisfiable}} \psi(a, b, c, d, e, f, g, h, i, j)$$

$$\boxed{d = a+b} \equiv d \Rightarrow a+b \text{ and } a+b \Rightarrow d$$

$$(\overline{d} + a + b) \text{ and } \overline{a+b} + d$$

$$\overline{a \cdot b} + d$$

$$\boxed{(\overline{d} + a + b) \cdot (\overline{a} + d) \cdot (\overline{b} + d)}$$

$$\begin{array}{c} a \\ b \\ j \end{array} \equiv \boxed{D} - h \equiv \begin{array}{c} a \\ b \\ j \end{array} \begin{array}{c} \overline{D} \\ \overline{K} \\ \overline{j} \end{array} - h$$

$$k = a \cdot b \equiv k \Rightarrow a \cdot b \text{ and } a \cdot b \Rightarrow k$$

$$\overline{k} + a \cdot b \text{ and } \overline{a \cdot b} + k$$

$$(\overline{k} + a) \cdot (\overline{k} + b) \text{ and } (\overline{a} + \overline{b} + k)$$

$$c \rightarrow \circ \rightarrow j$$

$$j = \overline{c} \equiv j \Rightarrow \overline{c} \text{ and } \overline{c} \Rightarrow j$$

$$(\overline{j} + \overline{c}) \text{ and } (\overline{\overline{c}} + j)$$

$(\bar{j} + \bar{c})$  and  $(\bar{c} + j)$

$(\bar{j} + \bar{c})$  and  $(c + j)$

---

Theorem:  $\varphi(a, b, c)$  is satisfiable

iff  $\psi(a, b, c, \dots)$  is satisfiable.

Proof

Case 1: If  $\varphi$  is satisfiable  
then  $\psi$  is satisfiable.

Just use same  $a, b, c$ .

Use values computed by the  
circuit for everything else.

Case 2: If  $\psi$  is satisfiable,  
then  $\varphi$  is satisfiable.

Just project out the values of  $a, b, c$ .

---

MinSAT + satelite + Glucose...  
Tools to convert formulas  
into CNF.

---

How to determine satisfiability

of CNF formulas?

Alg 1 ( $\varphi$ )

- ① For each assignment  $S: V \rightarrow \{\text{true, false}\}$   
check if  $S$  satisfies  $\varphi$ .  
If so return sat

- ② Return unsat

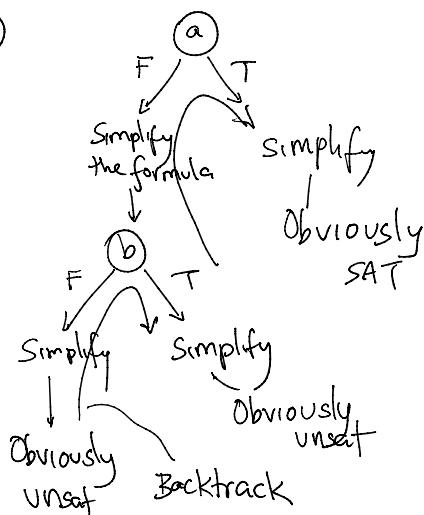
Theorem: Alg 1 returns sat iff  $\varphi$   
is satisfiable.

is satisfiable.

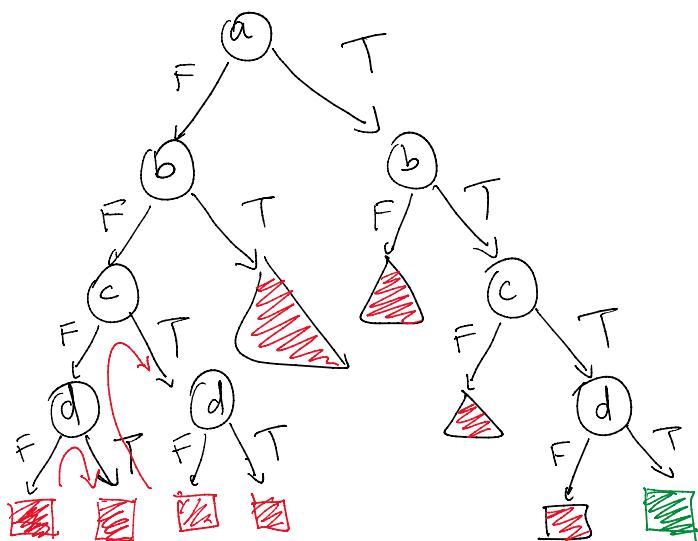
But! Might require  $2^{|V|}$  time!

Incrementally coming up with satisfying assignments

$\varphi(a, b, c, d)$



$(\bar{a} + b + c) \checkmark$   
and  $(a + c + d) \checkmark$   
and  $(a + c + \bar{d}) \checkmark$   
and  $(a + \bar{c} + d) \checkmark$   
and  $(a + \bar{c} + \bar{d}) \checkmark$   
and  $(b + \bar{c} + d)$   
and  $(\bar{a} + b + \bar{c}) \checkmark$   
and  $(\bar{a} + \bar{b} + c) \checkmark$



Alg<sub>2</sub>( $\varphi$ , partial assignment S)

If  $\exists$  clause  $c \in \varphi$  already unsat,  
return unsat

If  $\forall$  clauses  $C$ ,  $c$  already sat,  
then return sat.

Pick a variable  $v \notin S$

If  $\text{Alg}_2(\varphi, S \cup \{v\})$  return sat

If  $\text{Alg}_2(\varphi, S \cup \{\bar{v}\})$  return sat

Return unsat.

---

Theorem:  $\text{Alg}_2(\varphi, \{\})$  returns sat

↑  
Initial partial  
assignment

iff  $\varphi$  is satisfiable.

---

Unit propagation

$$(a + b + \bar{c})$$

Partial assignment:  $\{\bar{a}, b\}$ .

---

Then  $c$  should be false in any  
satisfying completion

① Formalize unit propagation

② DPLL (for free)

③ Polytime algorithms for

Horn-SAT

2-SAT.

---

Unit-Propagate ( $\varphi$      $S$  partial assignment) :

$S' := S$ .

For each clause  $c = (l_1 \text{ or } l_2 \text{ or } \dots \text{ or } l_k)$

In  $\varphi$ :

If  $\exists l_i$  which is unassigned

and  $\forall l_j \neq l_i, l_j$  is false

then  $S' := S \cup \{l_i\}$ .

If for some  $v$ , both  $v \wedge \neg v \in S'$

then report unsat

If  $S' \neq S$ : return

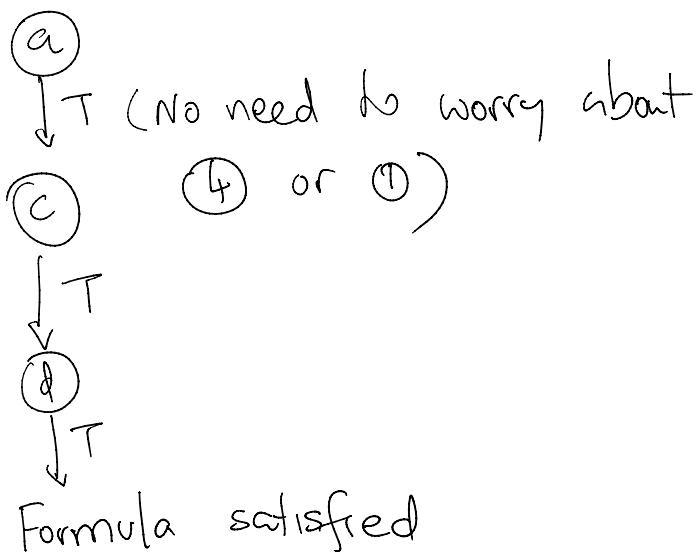
$\text{UPC}(\varphi, S')$  else

return  $S$ .

---

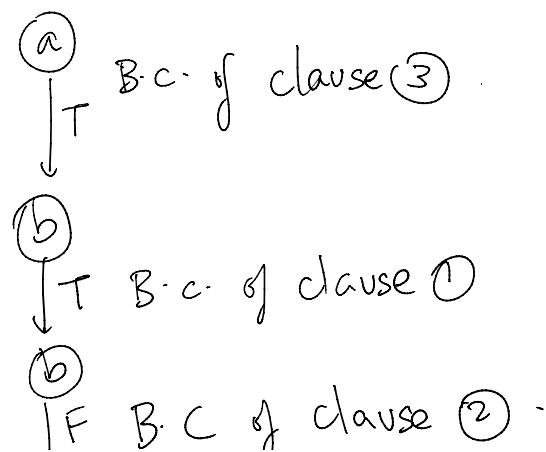
Example 1 :  $S = \{\}$

$$(a \text{ or } b) \text{ and } (\bar{a} \text{ or } c) \text{ and } (\bar{c} \text{ or } d) \text{ and } a \\ \equiv \underbrace{(a \text{ or } b)}_{\textcircled{1}} \text{ and } \underbrace{(a \Rightarrow c)}_{\textcircled{2}} \text{ and } \underbrace{(c \Rightarrow d)}_{\textcircled{3}} \text{ and } \underbrace{a}_{\textcircled{4}}$$



Example 2 :  $(\bar{a} \text{ or } b) \text{ and } (\bar{a} \text{ or } \bar{b}) \text{ and } a$

$$\equiv \underbrace{(a \Rightarrow b)}_{\textcircled{1}} \text{ and } \underbrace{(a \Rightarrow \bar{b})}_{\textcircled{2}} \text{ and } \underbrace{a}_{\textcircled{3}}$$



(b)  
JF B.C of clause ② -

∴ Unsat

---

DPLL ( $\varphi$  partial assignment  $S$ )

$S' := \text{unit-propagate}(\varphi, S)$

If  $S'$  is unsat, then return unsat.

Pick a variable  $v$  not assigned in  $S'$ .

Check  $r_1 = \text{DPLL}(\varphi, S' \cup \{v\})$

If  $r_1$  is sat, return sat

Check  $r_2 = \text{DPLL}(\varphi, S' \cup \{\bar{v}\})$

If  $r_2$  is sat, return sat.

Return unsat.

---

Theorem :  $\text{DPLL}(\varphi, \{\})$  returns sat

iff  $\varphi$  is satisfiable.

---

Vijay Ganesh / Waterloo

MapleSAT.

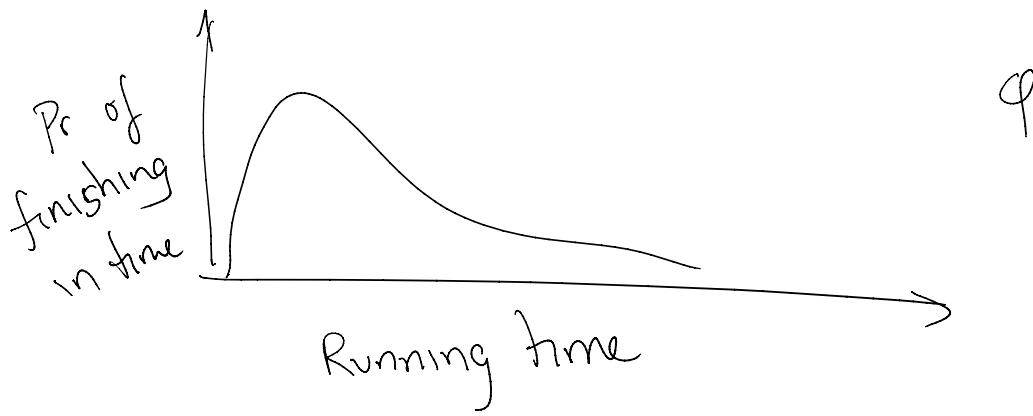
.. . . . . in AND-OR branching

Maple SAT.  
Machine learning to decide branching  
variables.

---

DPLL  $\Rightarrow$  Conflict Driven  
Clause Learning (CDCL)

- Clause learning ↳
- ① Why did a partial assignment fail?
  - ② Non-chronological backtracking
  - ③ Restarts



- 
- ① Tseitin's transform
  - ② Simple algorithms for SAT : Alg<sub>1</sub> & Alg<sub>2</sub>
  - ③ Unit propagation
  - ④ DPLL

⑤ Horn-SAT

2-SAT

⑥ CDCL (next class)

Horn-SAT : If  $\varphi$  is a formula made of  
only Horn clauses then  
is  $\varphi$  satisfiable?

Horn-clause : Clause  $c = l_1 \vee l_2 \vee \dots \vee l_k$

$c$  is a Horn clause if  
it has at most one positive literal.

$$\overline{a} \vee \overline{b} \vee \overline{c} \equiv \overline{a \wedge b \wedge c}$$

$$\overline{a} \vee \overline{b} \vee c \equiv \overline{\overline{a} \cdot \overline{b}} \vee c \equiv \underbrace{a \cdot b \Rightarrow c}_{\text{② Force you to set other things to true.}}$$

$c \leftarrow$

① Unit propagation  
will start by setting  
this to true

② Force you to  
set other  
things to  
true.

③ Say we end up with a partial assignment  $S$ .

Claim:  $S$  is a part of every satisfying assignment of  $\varphi$ .

④ If we have discovered that the formula is unsat, we are done.

⑤ Otherwise: set all unassigned vars to false. Formula is SAT.

---

Example:  $c \downarrow$  and  $c \Rightarrow a$  and  $a \cdot c \Rightarrow d$   
and  $a \cdot b \Rightarrow f$  and  $f + b$

Step 1:  $c$  must be true.

Step 2:  $a$  must be true

Step 3:  $d$  must be true

---

Unit prop is done.

Clauses ① ② ③ are satisfied

Variables  $b, f$  are unassigned.

Set them to false.

I claim ④ & ⑤ are also satisfied.

---

Example :  $\underbrace{c \Rightarrow a}_\textcircled{1}$  and  $\underbrace{a \cdot c \Rightarrow d}_\textcircled{2}$  and  $\underbrace{a \cdot b \Rightarrow f}_\textcircled{3}$  and  $\underbrace{\bar{f} + b}_\textcircled{4}$

Unit propagation is a no-op.

Set every variable to false.

In every  $b \Rightarrow h$ ,  $b$  is false. So  $b \Rightarrow h$  is true.

In every  $\bar{x} + \bar{y} + \dots + \bar{z}$ , every var is false.

So each literal is true.

So the clause is also true.

---

Every clause has been satisfied.